

第六章 自旋与全同粒子

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§ 6.1 电子自旋

(1) 施特恩-格拉赫实验

(2) 光谱的精细结构

(3) 电子自旋假设

(4) 回转磁比率

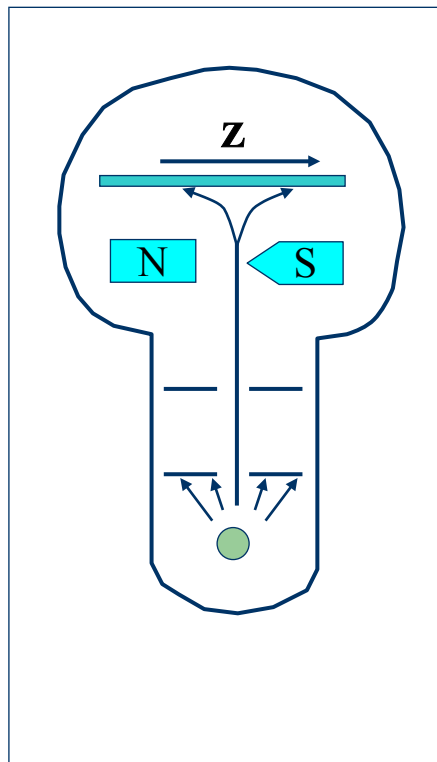
(1) 施特恩-格拉赫实验

(a) 实验现象

S态氢原子束通过狭缝和不均匀磁场，
在感光板上出现两条分立的线

(b) 结论

- I. 氢原子有磁矩
- II. 原子的磁矩只有两种取向，它们是空间量子化的



(c) 讨论

$$U = -\vec{M} \cdot \vec{B} = -MB_z \cos \theta$$

$$F_z = -\frac{\partial U}{\partial z} = M \frac{\partial B_z}{\partial z} \cos \theta$$

分析

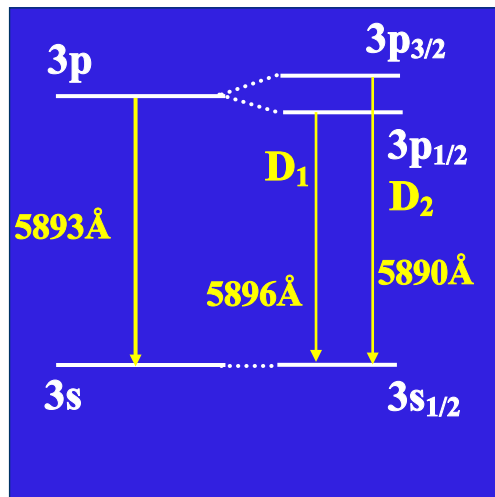
如果原子磁矩可以取任何方向， $\cos \theta$ 应当可以在区间 $(-1, +1)$ 连续取值，结果应该是一个连续的带。但实验结果只有两条分立的线，对应 $\cos \theta = -1$ 和 $+1$ 。S态氢原子没有轨道磁矩，原子磁矩来自电子固有的磁矩，即自旋磁矩（原子核质量大，核磁矩贡献可忽略）

(2) 精细光谱

钠原子光谱有一条明亮的黄线，对应的波长为**5893Å**

这条谱线由两条靠得很近的谱线组成，这种双谱线结构称为光谱线的精细结构

这种精细结构可以得出
电子具有自旋的结论



(3) 电子自旋假设

1925年, 乌伦贝克 (Uhlenbeck) 和哥德斯密脱 (Goudsmit) 提出了以下假设

i) 每个电子具有自旋角动量, 它在空间任何方向上的投影只能取两个值:

$$\vec{S} \quad \longrightarrow \quad S_z = \pm \frac{\hbar}{2}$$

ii) 每个电子具有自旋磁矩, 它与自旋角动量的关系是

$$\vec{M}_S = \frac{-e}{m_e} \vec{S} \quad (\text{SI}) \quad \vec{M}_S = \frac{-e}{m_s c} \vec{S} \quad (\text{CGS})$$

自旋磁矩在空间任意方向的投影只能取两个值:

$$M_{S_z} = \pm \frac{e\hbar}{2m_e c} = \pm M_B \quad (\text{CGS})$$

波尔磁子

(4) 回转磁比率

(a) 电子

$$\frac{M_{sz}}{S_z} = -\frac{e}{m_e c}$$

(b) 轨道

$$\vec{M}_L = -\frac{e}{2m_e c} \vec{L}$$

$$\frac{M_L}{L} = -\frac{e}{2m_s c} = \frac{M_{sz}}{2S_z}$$

§ 6.2 电子的自旋算符和自旋函数

- (1) 自旋算符和泡利算符
- (2) 含自旋的体系波函数
- (3) 自旋的矩阵表示和泡利矩阵
- (4) 体系波函数的归一化和概率密度
- (5) 自旋波函数
- (6) 物理量的平均值

(1) 自旋算符和泡利算符

电子具有自旋角动量这一特性纯粹是量子特性，它与坐标和动量无关，是电子内部状态的表征是描写电子状态的第四个变量。

自旋算符定义为 \hat{S}

$$\hat{L} \times \hat{L} = i\hbar \hat{L}$$

$$[\hat{L}_x, \hat{L}_y] = i\hbar \hat{L}_z$$

$$[\hat{L}_y, \hat{L}_z] = i\hbar \hat{L}_x$$

$$[\hat{L}_z, \hat{L}_x] = i\hbar \hat{L}_y$$

\hat{S}

$$\hat{S} \times \hat{S} = i\hbar \hat{S}$$

$$[\hat{S}_x, \hat{S}_y] = i\hbar \hat{S}_z$$

$$[\hat{S}_y, \hat{S}_z] = i\hbar \hat{S}_x$$

$$[\hat{S}_z, \hat{S}_x] = i\hbar \hat{S}_y$$

$$\hat{S}^2 \psi = (\hat{S}_x^2 + \hat{S}_y^2 + \hat{S}_z^2) \psi = \frac{3}{4} \hbar^2 \psi$$

$$\hat{S}_{x,y,z} \psi = \pm \frac{\hbar}{2} \psi$$

$$L^2 = l(l+1)\hbar^2 \longrightarrow S^2 = s(s+1)\hbar^2 = \frac{3}{4}\hbar^2 \longrightarrow s = \frac{1}{2}$$

自旋量子数只能取一个数值

泡利算符

$$\hat{\vec{S}} = \frac{\hbar}{2} \hat{\vec{\sigma}} \quad \longrightarrow \quad \begin{cases} S_x = \frac{\hbar}{2} \sigma_x \\ S_y = \frac{\hbar}{2} \sigma_y \\ S_z = \frac{\hbar}{2} \sigma_z \end{cases}$$

$$\hat{\vec{S}} \times \hat{\vec{S}} = i\hbar \hat{\vec{S}} \Rightarrow \hat{\vec{\sigma}} \times \hat{\vec{\sigma}} = 2i\hat{\vec{\sigma}} \quad \begin{cases} \hat{\sigma}_x \hat{\sigma}_y - \hat{\sigma}_y \hat{\sigma}_x = 2i\hat{\sigma}_z \\ \hat{\sigma}_y \hat{\sigma}_z - \hat{\sigma}_z \hat{\sigma}_y = 2i\hat{\sigma}_x \\ \hat{\sigma}_z \hat{\sigma}_x - \hat{\sigma}_x \hat{\sigma}_z = 2i\hat{\sigma}_y \end{cases}$$

$$\hat{\sigma}_x^2 = \hat{\sigma}_y^2 = \hat{\sigma}_z^2 = \mathbf{I}$$

反对易关系

$$\begin{cases} \hat{\sigma}_x \hat{\sigma}_y + \hat{\sigma}_y \hat{\sigma}_x = 0 \\ \hat{\sigma}_y \hat{\sigma}_z + \hat{\sigma}_z \hat{\sigma}_y = 0 \\ \hat{\sigma}_z \hat{\sigma}_x + \hat{\sigma}_x \hat{\sigma}_z = 0 \end{cases}$$

证明: $\hat{\sigma}_y \hat{\sigma}_z - \hat{\sigma}_z \hat{\sigma}_y = 2i\hat{\sigma}_x$

$$\hat{\sigma}_y \hat{\sigma}_y \hat{\sigma}_z - \hat{\sigma}_y \hat{\sigma}_z \hat{\sigma}_y = 2i\hat{\sigma}_y \hat{\sigma}_x$$

$$\hat{\sigma}_y^2 \hat{\sigma}_z - \hat{\sigma}_y \hat{\sigma}_z \hat{\sigma}_y = 2i\hat{\sigma}_y \hat{\sigma}_x$$

$$\hat{\sigma}_z - \hat{\sigma}_y \hat{\sigma}_z \hat{\sigma}_y = 2i\hat{\sigma}_y \hat{\sigma}_x$$

$$\begin{aligned} \hat{\sigma}_y \hat{\sigma}_z \hat{\sigma}_y - \hat{\sigma}_z \hat{\sigma}_y^2 &= 2i\hat{\sigma}_x \hat{\sigma}_y \\ \hat{\sigma}_y \hat{\sigma}_z \hat{\sigma}_y - \hat{\sigma}_z &= 2i\hat{\sigma}_x \hat{\sigma}_y \end{aligned}$$

$$\hat{\sigma}_x \hat{\sigma}_y + \hat{\sigma}_y \hat{\sigma}_x = 0$$

$$\hat{\sigma}_x \hat{\sigma}_y = -\hat{\sigma}_y \hat{\sigma}_x$$

$$\begin{cases} \hat{\sigma}_x \hat{\sigma}_y - \hat{\sigma}_y \hat{\sigma}_x = 2i\hat{\sigma}_z \\ \hat{\sigma}_y \hat{\sigma}_z - \hat{\sigma}_z \hat{\sigma}_y = 2i\hat{\sigma}_x \\ \hat{\sigma}_z \hat{\sigma}_x - \hat{\sigma}_x \hat{\sigma}_z = 2i\hat{\sigma}_y \end{cases} \Rightarrow \begin{cases} \hat{\sigma}_x \hat{\sigma}_y = -\hat{\sigma}_y \hat{\sigma}_x = i\hat{\sigma}_z \\ \hat{\sigma}_y \hat{\sigma}_z = -\hat{\sigma}_z \hat{\sigma}_y = i\hat{\sigma}_x \\ \hat{\sigma}_z \hat{\sigma}_x = -\hat{\sigma}_x \hat{\sigma}_z = i\hat{\sigma}_y \end{cases}$$

(2) 含自旋的体系波函数

$$\Psi = \Psi(x, y, z, S_z, t)$$

$$\begin{cases} \psi_1(\vec{r}, t) = \Psi(x, y, z, +\frac{\hbar}{2}, t) \\ \psi_2(\vec{r}, t) = \Psi(x, y, z, -\frac{\hbar}{2}, t) \end{cases}$$

$$\text{旋量} \quad \Phi = \begin{pmatrix} \psi_1(\vec{r}, t) \\ \psi_2(\vec{r}, t) \end{pmatrix}$$

$$\Phi_{\frac{1}{2}} = \begin{pmatrix} \psi_1(\vec{r}, t) \\ 0 \end{pmatrix} \quad \Phi_{-\frac{1}{2}} = \begin{pmatrix} 0 \\ \psi_2(\vec{r}, t) \end{pmatrix}$$

(3) 自旋的矩阵表示和泡利矩阵

(a) S_z 矩阵

$$S_z = \frac{\hbar}{2} \begin{pmatrix} a & b \\ c & d \end{pmatrix} \xleftarrow{\text{cyan}} \mathbf{S}_z \Phi_{\frac{1}{2}} = \frac{\hbar}{2} \Phi_{\frac{1}{2}} \quad \frac{\hbar}{2} \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \psi_1(\vec{r}, t) \\ 0 \end{pmatrix} = \frac{\hbar}{2} \begin{pmatrix} \psi_1(\vec{r}, t) \\ 0 \end{pmatrix}$$

$$\begin{pmatrix} a\psi_1 \\ c\psi_1 \end{pmatrix} = \begin{pmatrix} \psi_1 \\ 0 \end{pmatrix} \xrightarrow{\text{cyan}} \begin{cases} a = 1 \\ c = 0 \end{cases}$$

$$\frac{\hbar}{2} \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} 0 \\ \psi_2(\vec{r}, t) \end{pmatrix} = -\frac{\hbar}{2} \begin{pmatrix} 0 \\ \psi_2(\vec{r}, t) \end{pmatrix} \xrightarrow{\text{cyan}} \begin{pmatrix} b\psi_2 \\ d\psi_2 \end{pmatrix} = -\begin{pmatrix} 0 \\ \psi_2 \end{pmatrix} \xrightarrow{\text{cyan}} \begin{cases} b = 0 \\ d = -1 \end{cases}$$

$$S_z = \frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

(b) 泡利算符的矩阵形式

$$\frac{\hbar}{2}\hat{\sigma}_z = S_z = \frac{\hbar}{2}\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \Rightarrow \hat{\sigma}_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad \hat{\sigma}_x = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$$

$$\hat{\sigma}_x = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \longrightarrow \hat{\sigma}_z \hat{\sigma}_x = -\hat{\sigma}_x \hat{\sigma}_z$$

$$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} a & b \\ c & d \end{pmatrix} = -\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \longrightarrow \begin{pmatrix} a & b \\ -c & -d \end{pmatrix} = \begin{pmatrix} -a & b \\ -c & d \end{pmatrix} \longrightarrow \begin{cases} a=0 \\ d=0 \end{cases}$$

$$\sigma_x = \begin{pmatrix} 0 & b \\ c & 0 \end{pmatrix} \quad \hat{\sigma}_x^+ = \hat{\sigma}_x \Rightarrow \begin{pmatrix} 0 & b \\ c & 0 \end{pmatrix}^+ = \begin{pmatrix} 0 & c^* \\ b^* & 0 \end{pmatrix} = \begin{pmatrix} 0 & b \\ c & 0 \end{pmatrix} \quad \mathbf{b} = \mathbf{c}^* \quad \mathbf{c} = \mathbf{b}^*$$

$$\sigma_x = \begin{pmatrix} 0 & c^* \\ c & 0 \end{pmatrix} \quad \sigma_x^2 = \begin{pmatrix} 0 & c^* \\ c & 0 \end{pmatrix} \begin{pmatrix} 0 & c^* \\ c & 0 \end{pmatrix} = \begin{pmatrix} |c|^2 & 0 \\ 0 & |c|^2 \end{pmatrix} = I \Rightarrow |c|^2 = 1$$

$$\text{若 } \mathbf{c} = \exp[i\alpha] \quad (\alpha \text{ 为实数}) \quad \sigma_x = \begin{pmatrix} 0 & e^{-i\alpha} \\ e^{i\alpha} & 0 \end{pmatrix}$$

$$\sigma_x^2 = \mathbf{I}$$

$$i\hat{\sigma}_y = \hat{\sigma}_z \hat{\sigma}_x \Rightarrow \hat{\sigma}_y = -i\hat{\sigma}_z \hat{\sigma}_x$$

$$\sigma_y = -i \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} 0 & e^{-i\alpha} \\ e^{i\alpha} & 0 \end{pmatrix} = \begin{pmatrix} 0 & e^{i(-\alpha-\frac{\pi}{2})} \\ e^{i(\alpha+\frac{\pi}{2})} & 0 \end{pmatrix}$$

$\alpha=0$ ，则有泡利矩阵

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

$$S_x = \frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad S_y = \frac{\hbar}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad S_z = \frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

(4) 体系波函数的归一化和概率密度

(a) 归一化

$$\Phi = \begin{pmatrix} \psi_1(\vec{r}, t) \\ \psi_2(\vec{r}, t) \end{pmatrix} \quad \int \Phi^\dagger \Phi d\tau = \int \begin{pmatrix} \psi_1^* & \psi_2^* \end{pmatrix} \begin{pmatrix} \psi_1(\vec{r}, t) \\ \psi_2(\vec{r}, t) \end{pmatrix} d\tau$$
$$= \int [|\psi_1|^2 + |\psi_2|^2] d\tau = 1$$

(b) 概率密度

$$\omega(\vec{r}, t) = \Phi^\dagger \Phi = |\psi_1|^2 + |\psi_2|^2 = \omega_1(\vec{r}, t) + \omega_2(\vec{r}, t)$$

$$S_z = 1/2 \quad \int \omega_1(\vec{r}, t) d\tau$$

$$S_z = -1/2 \quad \int \omega_2(\vec{r}, t) d\tau$$

(5) 自旋波函数

$$\Phi = \begin{pmatrix} \Psi_1 \\ \Psi_2 \end{pmatrix}$$

通常情形下，电子的自旋状态对轨道运动有影响，从而 $\psi_1 \neq \psi_2$

当电子的自旋与轨道运动相互作用小到可以略去时，电子的自旋状态不影响轨道运动， ψ_1 和 ψ_2 对 (x, y, z) 的依赖关系一样，体系波函数可写成如下形式：

$$\psi(\vec{r}, S_z, t) = \psi(\vec{r}, t) \chi(S_z)$$

$\chi(S_z)$ 是 \hat{S}_z 的本征函数，即自旋波函数

$$\hat{S}_z \chi(S_z) = \pm \frac{\hbar}{2} \chi(S_z)$$

$$\begin{cases} \hat{S}_z \chi_{\frac{1}{2}}(S_z) = \frac{\hbar}{2} \chi_{\frac{1}{2}}(S_z) \\ \hat{S}_z \chi_{-\frac{1}{2}}(S_z) = -\frac{\hbar}{2} \chi_{-\frac{1}{2}}(S_z) \end{cases}$$

$$\chi_{\frac{1}{2}} = \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} \quad \chi_{-\frac{1}{2}} = \begin{pmatrix} a_3 \\ a_4 \end{pmatrix}$$

$$\frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = \frac{\hbar}{2} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} \xrightarrow{\text{pink arrow}} \begin{pmatrix} a_1 \\ -a_2 \end{pmatrix} = \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} \Rightarrow \begin{cases} a_1 = a_1 \\ a_2 = 0 \end{cases}$$

$$\begin{pmatrix} a_1^* & 0 \end{pmatrix} \begin{pmatrix} a_1 \\ 0 \end{pmatrix} = 1 \Rightarrow |a_1| = 1 \Rightarrow a_1 = 1$$

$$\chi_{\frac{1}{2}} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \quad \chi_{-\frac{1}{2}} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

$$\chi_{-\frac{1}{2}}^+ \chi_{\frac{1}{2}} = \begin{pmatrix} 0 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = 0$$

(6) 物理量的平均值

$$\text{物理量 } G = \begin{pmatrix} G_{11} & G_{12} \\ G_{21} & G_{22} \end{pmatrix}$$

对自旋求平均

$$\begin{aligned} \Phi^+ \hat{G} \Phi &= \begin{pmatrix} \psi_1^* & \psi_2^* \end{pmatrix} \begin{pmatrix} G_{11} & G_{12} \\ G_{21} & G_{22} \end{pmatrix} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} = \begin{pmatrix} \psi_1^* & \psi_2^* \end{pmatrix} \begin{pmatrix} G_{11}\psi_1 + G_{12}\psi_2 \\ G_{21}\psi_1 + G_{22}\psi_2 \end{pmatrix} \\ &= \psi_1^* G_{11} \psi_1 + \psi_1^* G_{12} \psi_2 + \psi_2^* G_{21} \psi_1 + \psi_2^* G_{22} \psi_2 \end{aligned}$$

对坐标和自旋同时求平均

$$\begin{aligned} \bar{G} &= \int \Phi^+ \hat{G} \Phi d\tau = \int \begin{pmatrix} \psi_1^* & \psi_2^* \end{pmatrix} \begin{pmatrix} G_{11} & G_{12} \\ G_{21} & G_{22} \end{pmatrix} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix} d\tau \\ &= \int [\psi_1^* G_{11} \psi_1 + \psi_1^* G_{12} \psi_2 + \psi_2^* G_{21} \psi_1 + \psi_2^* G_{22} \psi_2] d\tau \end{aligned}$$

§ 6.3 简单塞曼效应

假设外磁场足够大，以致自旋和轨道运动相互作用能量和外磁场引起的附加能量比较起来可以略去

取磁场方向为z轴，则磁场引起的附加能量(CGS)是

$$\begin{aligned} U &= -(\hat{\vec{M}}_L + \hat{\vec{M}}_S) \cdot \vec{B} = \frac{e}{2m_e c} (\hat{\vec{L}} + 2\hat{\vec{S}}) \cdot \vec{B} \\ &= \frac{e}{2m_e c} (\hat{L}_z + 2\hat{S}_z) B \end{aligned}$$

体系的薛定谔方程为

$$\left(-\frac{\hbar^2}{2m_e} \nabla^2 + U(r) + \frac{eB}{2m_e c} (\hat{L}_z + 2\hat{S}_z) \right) \Psi = E\Psi$$

$$\Psi = \psi_1 \chi_{\frac{1}{2}} = \begin{pmatrix} \psi_1 \\ 0 \end{pmatrix} \quad \text{或} \quad \Psi = \psi_2 \chi_{-\frac{1}{2}} = \begin{pmatrix} 0 \\ \psi_2 \end{pmatrix}$$

$$\left(-\frac{\hbar^2}{2m_e} \nabla^2 + U(r) + \frac{eB}{2m_e c} (\hat{L}_z + 2\hat{S}_z) \right) \begin{pmatrix} \psi_1 \\ 0 \end{pmatrix} = E \begin{pmatrix} \psi_1 \\ 0 \end{pmatrix}$$

$$\because \hat{S}_z \begin{pmatrix} \psi_1 \\ 0 \end{pmatrix} = \frac{\hbar}{2} \begin{pmatrix} \psi_1 \\ 0 \end{pmatrix} \therefore \left(-\frac{\hbar^2}{2m_e} \nabla^2 + U(r) + \frac{eB}{2m_e c} (\hat{L}_z + \hbar) \right) \begin{pmatrix} \psi_1 \\ 0 \end{pmatrix} = E \begin{pmatrix} \psi_1 \\ 0 \end{pmatrix}$$

$$\left(-\frac{\hbar^2}{2m_e} \nabla^2 + U(r) + \frac{eB}{2m_e c} (\hat{L}_z + \hbar) \right) \psi_1 = E \psi_1$$

$$\left(-\frac{\hbar^2}{2m_e} \nabla^2 + U(r) + \frac{eB}{2m_e c} (\hat{L}_z - \hbar) \right) \psi_2 = E \psi_2$$

方程求解

$$\left(-\frac{\hbar^2}{2m_e} \nabla^2 + U(r) + \frac{eB}{2m_e c} (\hat{L}_z + \hbar) \right) \psi_1 = E \psi_1$$

(a) $\mathbf{B}=\mathbf{0}$

$$\psi_1 = \psi_2 = \psi_{nlm} = R_{nl}(r) Y_{lm}(\theta, \varphi)$$

a.I 氢原子

$$U(r) = -\frac{e^2}{r} \quad E_n = -\frac{m_e e^4}{2\hbar^2 n^2}$$

a.II 类氢原子

Li, Na, ... 等碱金属原子, 由于核外电子有屏蔽效应, 能量不仅与 **n**, 而且与**l**有关

$$\left(-\frac{\hbar^2}{2m_e} \nabla^2 + U(r) \right) \psi_{nlm} = E_{nl} \psi_{nlm}$$

(b) $\mathbf{B} \neq 0$

$$\begin{aligned}\text{对于 } \hat{L}_z \psi_{nlm} &= \hat{L}_z R_{nl}(r) Y_{lm}(\theta, \varphi) = R_{nl}(r) \hat{L}_z Y_{lm}(\theta, \varphi) \\ &= m\hbar R_{nl}(r) Y_{lm}(\theta, \varphi) = m\hbar \psi_{nlm}\end{aligned}$$

$$\left(-\frac{\hbar^2}{2m_e} \nabla^2 + U(r) + \frac{eB}{2m_e c} (\hat{L}_z + \hbar) \right) \psi_{nlm} = E \psi_{nlm}$$

$$\left(-\frac{\hbar^2}{2m_e} \nabla^2 + U(r) \right) \psi_{nlm} + \frac{eB}{2m_e c} (m\hbar + \hbar) \psi_{nlm} = E \psi_{nlm}$$

$$E_{nl} \psi_{nlm} + \frac{e\hbar B}{2m_e c} (m+1) \psi_{nlm} = E \psi_{nlm}$$

$$\longrightarrow \quad E = E_{nl} + \frac{e\hbar B}{2m_e c} (m+1) \quad S_z = \frac{\hbar}{2}$$

$$E = E_{nl} + \frac{e\hbar B}{2m_e c} (m-1) \quad S_z = -\frac{\hbar}{2}$$

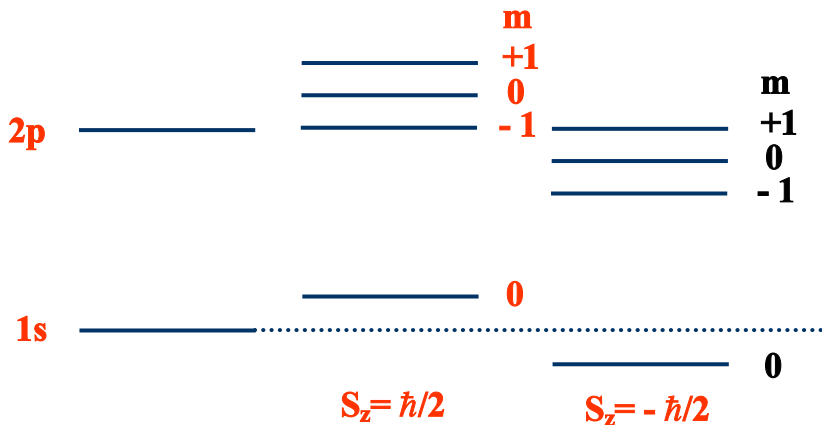
简单塞曼效应

$$E_{nlm} = \begin{cases} E_{nl} + \frac{e\hbar B}{2m_e c} (m+1) & S_z = \frac{\hbar}{2} \\ E_{nl} + \frac{e\hbar B}{2m_e c} (m-1) & S_z = -\frac{\hbar}{2} \end{cases}$$

结果表明：在外磁场中，能级与 m 有关，原来 m 不同而能量相同的简并现象被外磁场消除。其次，由于外磁场的存在，能量与自旋有关。当原子处于 s 态时， $l=m=0$ ，原来的能级分裂为两个，如施特恩-格拉赫实验所观察到的。

$$E_{nlm} = E_{n00} = \begin{cases} E_{n0} + \frac{e\hbar B}{2m_e c} & (S_z = \frac{\hbar}{2}) \\ E_{n0} - \frac{e\hbar B}{2m_e c} & (S_z = -\frac{\hbar}{2}) \end{cases}$$

$$E_{nlm} = \begin{cases} E_{nl} + \frac{e\hbar B}{2m_e c}(m+1) & S_z = \frac{\hbar}{2} \\ E_{nl} + \frac{e\hbar B}{2m_e c}(m-1) & S_z = -\frac{\hbar}{2} \end{cases}$$



(a) B=0

(b) B≠0

在强磁场中s项和p项的分裂

a. $B = 0$

$$\omega_0 = \frac{E_{nl} - E_{n'l'}}{\hbar}$$

b. $B \neq 0$

$$\omega = \frac{E_{nlm} - E_{n'l'm'}}{\hbar} = \frac{1}{\hbar} \left(E_{nl} + \frac{e\hbar B}{2m_e c} (m \pm 1) - E_{n'l'} - \frac{e\hbar B}{2m_e c} (m' \pm 1) \right)$$

$$= \frac{E_{nl} - E_{n'l'}}{\hbar} + \frac{eB}{2m_e c} (m - m') = \omega_0 + \frac{eB}{2m_e c} \Delta m$$

$$\Delta m = 0, \pm 1 \quad (\Delta l = \pm 1) \quad \omega = \begin{cases} \omega_0 \\ \omega_0 + \frac{eB}{2m_e c} \\ \omega_0 - \frac{eB}{2m_e c} \end{cases}$$

§ 6.4 两个角动量的耦合

(1) 总角动量

(2) 耦合和非耦合表象

(1) 总角动量

$$\hat{J}_1 \times \hat{J}_1 = i\hbar \hat{J}_1 \quad \hat{J}_2 \times \hat{J}_2 = i\hbar \hat{J}_2$$

$$[\hat{J}_1, \hat{J}_2] = 0$$

$$(a) \quad \hat{J} = \hat{J}_1 + \hat{J}_2$$

$$\begin{aligned} [\hat{J}_x, \hat{J}_y] &= [\hat{J}_{1x} + \hat{J}_{2x}, \hat{J}_{1y} + \hat{J}_{2y}] = [\hat{J}_{1x}, \hat{J}_{1y}] + [\hat{J}_{1x}, \hat{J}_{2y}] + [\hat{J}_{2x}, \hat{J}_{1y}] + [\hat{J}_{2x}, \hat{J}_{2y}] \\ &= i\hbar \hat{J}_{1z} + 0 + 0 + i\hbar \hat{J}_{2z} = i\hbar (\hat{J}_{1z} + \hat{J}_{2z}) = i\hbar \hat{J}_z \end{aligned}$$

$$\begin{cases} [\hat{J}_x, \hat{J}_y] = i\hbar \hat{J}_z \\ [\hat{J}_y, \hat{J}_z] = i\hbar \hat{J}_x \\ [\hat{J}_z, \hat{J}_x] = i\hbar \hat{J}_y \end{cases} \longrightarrow \hat{J} \times \hat{J} = i\hbar \hat{J}$$

$$(b) \quad [\hat{J}^2, \hat{J}] = 0$$

$$\begin{aligned}
 [\hat{J}^2, \hat{J}_x] &= [\hat{J}_x^2 + \hat{J}_y^2 + \hat{J}_z^2, \hat{J}_x] = [\hat{J}_x^2, \hat{J}_x] + [\hat{J}_y^2, \hat{J}_x] + [\hat{J}_z^2, \hat{J}_x] \\
 &= 0 + \hat{J}_y [\hat{J}_y, \hat{J}_x] + [\hat{J}_y, \hat{J}_x] \hat{J}_y + \hat{J}_z [\hat{J}_z, \hat{J}_x] + [\hat{J}_z, \hat{J}_x] \hat{J}_z \\
 &= -i\hbar \hat{J}_y \hat{J}_z - i\hbar \hat{J}_z \hat{J}_y + i\hbar \hat{J}_z \hat{J}_y + i\hbar \hat{J}_y \hat{J}_z \\
 &= 0
 \end{aligned}$$

$$\hat{J} \times \hat{J} = i\hbar \hat{J} \longrightarrow [\hat{J}^2, \hat{J}_\alpha] = 0 \quad \alpha = x, y, z$$

$$(c) \quad [\hat{J}^2, \hat{J}_i^2] = 0 \quad i = 1, 2$$

$$\begin{aligned} [\hat{J}^2, \hat{J}_1^2] &= [\hat{J}_1^2 + \hat{J}_2^2 + 2\vec{J}_1 \bullet \vec{J}_2, \hat{J}_1^2] \\ &= [\hat{J}_1^2, \hat{J}_1^2] + [\hat{J}_2^2, \hat{J}_1^2] + 2[\hat{J}_{1x}\hat{J}_{2x} + \hat{J}_{1y}\hat{J}_{2y} + \hat{J}_{1z}\hat{J}_{2z}, \hat{J}_1^2] \\ &= 0 + 0 + 2[\hat{J}_{1x}\hat{J}_{2x}, \hat{J}_1^2] + 2[\hat{J}_{1y}\hat{J}_{2y}, \hat{J}_1^2] + 2[\hat{J}_{1z}\hat{J}_{2z}, \hat{J}_1^2] \\ &= 0 \end{aligned}$$

$$[\hat{J}_{1x}\hat{J}_{2x}, \hat{J}_1^2] = [\hat{J}_{1y}\hat{J}_{2y}, \hat{J}_1^2] = [\hat{J}_{1z}\hat{J}_{2z}, \hat{J}_1^2] = 0$$

$$[\hat{J}^2, \hat{J}_i^2] = 0$$

$$\begin{cases} [\hat{J}^2, \hat{J}_1^2] \neq 0 \\ [\hat{J}^2, \hat{J}_2^2] \neq 0 \end{cases} \quad [\hat{J}_{1x}\hat{J}_{2x} + \hat{J}_{1y}\hat{J}_{2y} + \hat{J}_{1z}\hat{J}_{2z}, \hat{J}_1^2] \neq 0$$

$$(d) \quad [\hat{J}_z, \hat{J}_i^2] = 0 \quad i=1,2.$$

$$[\hat{J}_z, \hat{J}_1^2] = [\hat{J}_{1z} + \hat{J}_{2z}, \hat{J}_1^2] = [\hat{J}_{1z}, \hat{J}_1^2] + [\hat{J}_{2z}, \hat{J}_1^2] = 0 \quad [\hat{J}_z, \hat{J}_2^2] = 0$$

(2) 耦合和非耦合表象

(a) 本征函数

$$\hat{J}^2, \hat{J}_z, \hat{J}_1^2, \hat{J}_2^2$$

耦合表象基矢量

$$\hat{J}_1^2, \hat{J}_{1z}, \hat{J}_2^2, \hat{J}_{2z}$$

非耦合表象基矢量

$$|j_1, j_2, j, m\rangle$$

$$\hat{J}^2 |j_1, j_2, j, m\rangle = j(j+1)\hbar^2 |j_1, j_2, j, m\rangle$$

$$\hat{J}_z |j_1, j_2, j, m\rangle = m\hbar |j_1, j_2, j, m\rangle$$

$$|j_1, m_1, j_2, m_2\rangle$$

$$= |j_1, m_1\rangle |j_2, m_2\rangle$$

这两套基矢量是正交归一和完备的，它们可以相互表示

$$|j_1, j_2, j, m\rangle = \sum_{m_1 m_2} |j_1, m_1, j_2, m_2\rangle \langle \underline{j_1, m_1, j_2, m_2} | j_1, j_2, j, m \rangle$$

$$\hat{J}_z = \hat{J}_{1z} + \hat{J}_{2z} \rightarrow m = m_1 + m_2$$

克来布希-高登系数

$$m_1 = m - m_2$$

$$|j_1, j_2, j, m\rangle = \sum_{m_2} |j_1, m - m_2, j_2, m_2\rangle \langle j_1, m - m_2, j_2, m_2 | j_1, j_2, j, m \rangle$$

或

$$|j_1, j_2, j, m\rangle = \sum_{m_1} |j_1, m_1, j_2, m - m_1\rangle \langle j_1, m_1, j_2, m - m_1 | j_1, j_2, j, m \rangle$$

(b) j 的取值范围 (j 和 j_1, j_2 的关系)

i) 对于给定的 j_1, j_2 求 j_{\max}

$$m = j, j-1, \dots, -j+1, -j \rightarrow m_{\max} = j;$$

$$m_1 = j_1, j_1-1, \dots, -j_1+1, -j_1 \rightarrow (m_1)_{\max} = j_1;$$

$$m_2 = j_2, j_2-1, \dots, -j_2+1, -j_2 \rightarrow (m_2)_{\max} = j_2;$$

$$m = m_1 + m_2$$

$$j_{\max} = j_1 + j_2$$

ii) 对于给定的 j_1 j_2 求 j_{\min}

$|j_1 m_1\rangle, |j_2 m_2\rangle$ 基矢的数目分别是 $2j_1+1$ 和 $2j_2+1$, 则
 $|j_1, m_1, j_2, m_2\rangle = |j_1, m_1\rangle |j_2, m_2\rangle$
 的数目是 $(2j_1+1)(2j_2+1)$.

$$\sum_{j=j_{\min}}^{j_{\max}} (2j+1) = (j_{\max}+1)^2 - j_{\min}^2 = (j_1+j_2+1)^2 - j_{\min}^2$$

$$(j_1+j_2+1)^2 - j_{\min}^2 = (2j_1+1)(2j_2+1)$$

$$j_{\min} = |j_1 - j_2|$$

iii) j 的取值

$$j = j_1+j_2, j_1+j_2-1, j_1+j_2-2, \dots, |j_1 - j_2|$$

$$\Delta(j_1, j_2, j)$$

求得 \mathbf{j} 和 \mathbf{m} 后，解决了 \mathbf{J}^2 和 \mathbf{J}_z 的本征值问题

$$\hat{J}^2 |j_1, j_2, j, m\rangle = j(j+1)\hbar^2 |j_1, j_2, j, m\rangle$$

$$\hat{J}_z |j_1, j_2, j, m\rangle = m\hbar |j_1, j_2, j, m\rangle$$

它们的本征矢需知道耦合系数才能确定

$$|j_1, j_2, j, m\rangle = \sum_{m_1} |j_1, m_1, j_2, m-m_1\rangle \langle \underline{j_1, m_1, j_2, m-m_1} | j_1, j_2, j, m \rangle$$

该系数可以从专用表中查出. 以下列出了第二个角动量为电子自旋角动量 $\mathbf{j}_2 = 1/2$ 时的几个矢量耦合系数

$$\langle j_1, m - m_2, \frac{1}{2}, m_2 \mid j_1, \frac{1}{2}, j, m \rangle \quad (j_1 > 0)$$

$$j$$

$$m_2 = \frac{1}{2}$$

$$m_2 = -\frac{1}{2}$$

$$j_1 + \frac{1}{2} \quad \sqrt{\frac{j_1 + m + \frac{1}{2}}{2j_1 + 1}}$$

$$\sqrt{\frac{j_1 - m + \frac{1}{2}}{2j_1 + 1}}$$

$$j_1 - \frac{1}{2} \quad -\sqrt{\frac{j_1 - m + \frac{1}{2}}{2j_1 + 1}}$$

$$\sqrt{\frac{j_1 + m + \frac{1}{2}}{2j_1 + 1}}$$

$$|j_1, \frac{1}{2}, j_1 + \frac{1}{2}, m\rangle = \sqrt{\frac{j_1 + m + \frac{1}{2}}{2j_1 + 1}} |j_1, m - \frac{1}{2}, \frac{1}{2}, \frac{1}{2}\rangle + \sqrt{\frac{j_1 - m + \frac{1}{2}}{2j_1 + 1}} |j_1, m + \frac{1}{2}, \frac{1}{2}, -\frac{1}{2}\rangle$$

$$|j_1, \frac{1}{2}, j_1 - \frac{1}{2}, m\rangle = -\sqrt{\frac{j_1 - m + \frac{1}{2}}{2j_1 + 1}} |j_1, m - \frac{1}{2}, \frac{1}{2}, \frac{1}{2}\rangle + \sqrt{\frac{j_1 + m + \frac{1}{2}}{2j_1 + 1}} |j_1, m + \frac{1}{2}, \frac{1}{2}, -\frac{1}{2}\rangle$$

§6.5 光谱的精细结构

这一节讨论在没有外场的情况下，电子自旋对类氢原子的能级和谱线的影响。

不考虑电子自旋与轨道相互作用的能量，类氢原子的哈密顿

$$\hat{H}_0 = -\frac{\hbar^2}{2m_e} \nabla^2 + U(r) \quad U(r) = -\frac{Ze_s^2}{r}$$

H_0 , L^2 , L_z , 和 S_z 相互对易，有共同本征函数（无耦合表象基矢）

$$\Phi_{nlm_l m_s}(r, \theta, \varphi, s_z) = R_{nl}(r) Y_{lm_l}(\theta, \varphi) \chi_{m_s} \Rightarrow |n, l, m_l, m_s\rangle$$

$$E_n = -\frac{m_e Z^2 e_s^4}{2\hbar^2 n^2} \quad n = 1, 2, 3, \dots$$

能量简并度为 n^2 ，考虑自旋后的简并度为 $2n^2$

电子的总角动量算符为

$$\hat{\mathbf{J}} = \hat{\mathbf{L}} + \hat{\mathbf{S}}$$

由于 \mathbf{L}^2 , \mathbf{J}^2 , \mathbf{J}_z 和 \mathbf{H}_0 相互对易 (\mathbf{S}^2 是常数, 与任何算符都对易), 它们有共同本征函数 (耦合表象基矢)

$$\Psi_{nljm}(r, \theta, \varphi, s_z) = R_{nl}(r) u_{ljm}(\theta, \varphi, s_z) \Rightarrow |n, l, j, m\rangle$$

考虑自旋和轨道相互作用能, 其表达式为

$$\hat{H}' = \frac{1}{2m_e^2 c^2} \frac{1}{r} \frac{dU}{dr} \hat{\mathbf{L}} \cdot \hat{\mathbf{S}} = \xi(r) \vec{L} \cdot \vec{S}$$

自旋轨道耦合项

体系哈密顿为

$$\hat{H} = \hat{H}_0 + \hat{H}' = -\frac{\hbar^2}{2m_e} \nabla^2 + U(r) + \xi(r) \vec{L} \cdot \vec{S}$$

由于**H**含有自旋-轨道耦合项，**L_z**、**S_z**和**H**不再对易，这时电子的态不能用量子数**m_l**和**m_s**来描写。

但**L²**、**J²**、**J_z**与**H**仍然对易，量子数**l, j, m**可以描写电子的状态。

证明：

$$\hat{J}^2 = (\hat{L} + \hat{S})^2 = \hat{L}^2 + \hat{S}^2 + 2\hat{L} \cdot \hat{S}$$

$$\hat{L} \cdot \hat{S} = \frac{1}{2} [\hat{J}^2 - \hat{L}^2 - \hat{S}^2] = \frac{1}{2} [\hat{J}^2 - \hat{L}^2 - \frac{3}{4} \hbar^2]$$

$$[\hat{J}^2, \hat{L} \cdot \hat{S}] = 0$$

$$[\hat{J}_z, \hat{L} \cdot \hat{S}] = 0$$

$$[\hat{L}^2, \hat{L} \cdot \hat{S}] = 0$$

L², J², J_z 与 H' 和 H₀ 对易，因而与 H 对易。

微扰法求解

$$(\hat{H}_0 + \hat{H}')\psi = E\psi$$

由于 \mathbf{H}_0 的本征值是简并的，所以采用简并微扰方法求解

\mathbf{H}_0 有两种波函数：耦合和非耦合表象波函数。其中耦合表象中 \mathbf{H}' 矩阵是对角化的，可以省去解久期方程的步骤

若 $\psi = \sum_{ljm} C_{ljm} |n, l, j, m\rangle$ 则

$$\sum_{ljm} [H'_{l'j'm', ljm} - E_n^{(1)} \delta_{l'l} \delta_{j'j} \delta_{m'm}] C_{ljm} = 0$$

矩阵元

$$\begin{aligned} H'_{l'j'm', ljm} &= \langle n, l', j', m' | \hat{H}' | n, l, j, m \rangle \\ &= \int_0^\infty R_{nl'}^* \xi(r) R_{nl} r^2 dr \langle l', j', m' | \hat{L} \bullet \hat{S} | l, j, m \rangle \end{aligned}$$

$$\int_0^{\infty} R_{nl'}^* \xi(r) R_{nl} r^2 dr \langle l', j', m' | \hat{L} \bullet \hat{S} | l, j, m \rangle$$

$$= \langle nl' | \xi(r) | nl \rangle \langle l', j', m' | \frac{1}{2} [\hat{J}^2 - \hat{L}^2 - \frac{3}{4} \hbar^2] | l, j, m \rangle$$

$$= \langle nl' | \xi(r) | nl \rangle \frac{1}{2} [j(j+1) - l(l+1) - \frac{3}{4}] \hbar^2 \langle l', \frac{1}{2}, j', m' | l, \frac{1}{2}, j, m \rangle$$

$$= \langle nl | \xi(r) | nl \rangle \frac{1}{2} [j(j+1) - l(l+1) - \frac{3}{4}] \hbar^2 \delta_{l'l} \delta_{j'j} \delta_{m'm}$$

$$= H'_{nlj} \delta_{l'l} \delta_{j'j} \delta_{m'm}$$

$$= H'_{l'j'm', ljm} \quad H'_{nlj} = \langle nl | \xi(r) | nl \rangle \frac{1}{2} [j(j+1) - l(l+1) - \frac{3}{4}] \hbar^2$$

$$\langle nl | \xi(r) | nl \rangle = \int_0^{\infty} R_{nl}^* \xi(r) R_{nl} r^2 dr = \int_0^{\infty} R_{nl}^2 \xi(r) r^2 dr$$

$$\sum_{ljm} [H'_{l'j'm', ljm} - E_n^{(1)} \delta_{l'l} \delta_{j'j} \delta_{m'm}] C_{ljm} = \sum_{ljm} [H'_{nlj} - E_n^{(1)}] \delta_{l'l} \delta_{j'j} \delta_{m'm} C_{ljm} = 0$$

$$\sum_{l'jm} [H'_{nlj} - E_n^{(1)}] \delta_{l'l} \delta_{j'j} \delta_{m'm} C_{l'jm} = 0$$

$$[H'_{nlj} - E_n^{(1)}] C_{l'jm} = 0$$



$$[H'_{nlj} - E_n^{(1)}] C_{ljm} = 0$$

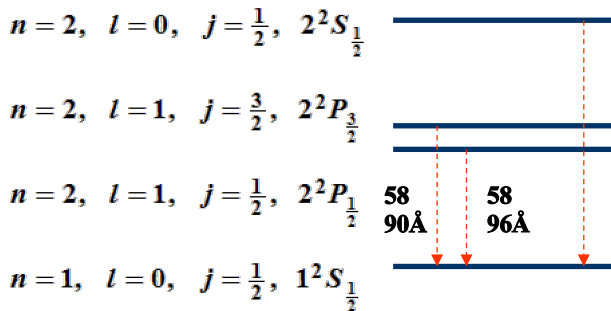
$$C_{ljm} \neq 0$$

$$[H'_{nlj} - E_n^{(1)}] = 0$$

$$E_n^{(1)} = E_{nlj}^{(1)} = H'_{nlj} = \langle nl | \xi(r) | nl \rangle = \frac{1}{2} [j(j+1) - l(l+1) - \frac{3}{4}] \hbar^2$$

自旋轨道耦合使原来简并的能级分裂开来，即简并被消除，但上式中不含量子数 m ， m 可以取 $2j+1$ 个值，还有 $2j+1$ 度简并保留下来

当 n 和 l 给定后, j 可以取两个值: $j=\pm l/2(l \neq 0 \text{ 除外})$, 即具有相同的量子数 n, l 的能级有两个, 它们之间的差别很小, 这就是产生光谱线精细结构的原因



钠原子2P项的精细结构

$$E_n^{(1)} = E_{nl}^{(1)} = H'_{nl} = \langle nl | \xi(r) | nl \rangle = \frac{1}{2} [j(j+1) - l(l+1) - \frac{3}{4}] \hbar^2$$

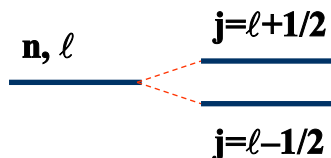
$$\langle \xi(r) \rangle$$

$$U(r) = -\frac{Ze_s^2}{r} \quad \xi(r) = \frac{1}{2m_e^2 c^2} \frac{1}{r} \frac{dU}{dr} = \frac{Ze_s^2}{2m_e^2 c^2} \frac{1}{r^3}$$

$$\begin{aligned} \langle \xi(r) \rangle &= \int_0^\infty R_{nl}^2(r) \xi(r) r^2 dr = \frac{Z e_s^2}{2 m_e^2 c^2} \int_0^\infty \frac{R_{nl}^2(r)}{r} dr \\ &= \frac{e_s^2}{2 m_e^2 c^2 a_0^3} \frac{Z^4}{n^3 l(l + \frac{1}{2})(l + 1)} \quad a_0 = \frac{\hbar^2}{m_e e_s^2} \end{aligned}$$

$$\begin{cases} E_{nl, j=l+\frac{1}{2}} = E_n^{(0)} + \frac{m_e c^2}{2} \left(\frac{\alpha Z}{n} \right)^4 \frac{n}{(2l+1)(l+1)} \\ E_{nl, j=l-\frac{1}{2}} = E_n^{(0)} - \frac{m_e c^2}{2} \left(\frac{\alpha Z}{n} \right)^4 \frac{n}{(2l+1)l} \end{cases}$$

$$\alpha = \frac{e_s^2}{\hbar c} = \frac{1}{137} \quad \text{精细结构常数}$$



波函数的零级近似可以是 Ψ_{n1jm} ，也可以 Ψ_{n1jm} 是对不同 m 的线性组合，它可用无耦合表象来表示，如下所示：

$$|n, l, l+\frac{1}{2}, m\rangle = \sqrt{\frac{l+m+\frac{1}{2}}{2l+1}} |n, l, m-\frac{1}{2}, \frac{1}{2}\rangle + \sqrt{\frac{l-m+\frac{1}{2}}{2l+1}} |n, l, m+\frac{1}{2}, -\frac{1}{2}\rangle$$

$$|n, l, l-\frac{1}{2}, m\rangle = -\sqrt{\frac{l-m+\frac{1}{2}}{2l+1}} |n, l, m-\frac{1}{2}, \frac{1}{2}\rangle + \sqrt{\frac{l+m+\frac{1}{2}}{2l+1}} |n, l, m+\frac{1}{2}, -\frac{1}{2}\rangle$$

以上讨论适用于 $l > 0$ 的情况，当 $l = 0$ 时，无自旋-轨道耦合，能级没有变化

作业

7.2

7.4

7.5

§ 6.6 全同粒子的特性

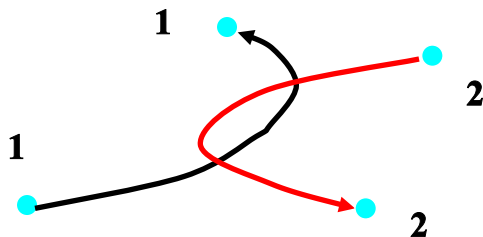
- (1) 全同粒子和全同性原理
- (2) 波函数的对称性
- (3) 波函数对称性不随时间改变
- (4) 费米子和波色子

(1) 全同粒子和全同性原理

(a) 全同粒子

质量、电荷、自旋和其它固有性质完全相同的微观粒子称为全同粒子

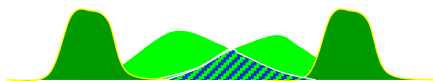
(b) 与经典粒子的区别



$\begin{cases} \text{位置} \\ \text{速度} \end{cases} \Rightarrow \text{轨道}$

(c) 微观粒子的不可区分性

微观粒子的运动 $\xrightarrow{\text{遵循}}$ 量子力学 $\xrightarrow{\hspace{1cm}}$ 波函数



在波函数重叠区粒子不可区分

(d) 全同粒子

对于全同粒子组成的体系，两全同粒子相互取代不引起物理状态的改变，这个论断称为全同性原理，它是量子力学的基本原理之一

(2) 波函数的对称性

(a) 哈密顿的对称性

$$\hat{H}(q_1, q_2, \cdots q_i \cdots q_j \cdots q_N, t) = \sum_{i=1}^N \left[-\frac{\hbar^2}{2m} \nabla_i^2 + U(q_i, t) \right] + \sum_{i < j}^N W(q_i, q_j)$$
$$q_i \equiv \{\vec{r}_i, s_i\}$$

$$\hat{H}(q_1, q_2, \cdots q_j \cdots q_i \cdots q_N, t) = \hat{H}(q_1, q_2, \cdots q_i \cdots q_j \cdots q_N, t)$$

(b) 波函数的对称性和反对称性

$$\begin{aligned} i\hbar \frac{\partial}{\partial t} \Phi(q_1, q_2, \cdots q_i \cdots q_j \cdots q_N, t) \\ = \hat{H}(q_1, q_2, \cdots q_i \cdots q_j \cdots q_N, t) \Phi(q_1, q_2, \cdots q_i \cdots q_j \cdots q_N, t) \end{aligned}$$

$$\begin{aligned} i\hbar \frac{\partial}{\partial t} \Phi(q_1, q_2, \cdots q_j \cdots q_i \cdots q_N, t) \\ = \hat{H}(q_1, q_2, \cdots q_j \cdots q_i \cdots q_N, t) \Phi(q_1, q_2, \cdots q_j \cdots q_i \cdots q_N, t) \\ = \hat{H}(q_1, q_2, \cdots q_i \cdots q_j \cdots q_N, t) \Phi(q_1, q_2, \cdots q_j \cdots q_i \cdots q_N, t) \end{aligned}$$

$$\begin{cases} \Phi(q_1, q_2, \cdots q_i \cdots q_j \cdots q_N, t) \\ \Phi(q_1, q_2, \cdots q_j \cdots q_i \cdots q_N, t) \end{cases}$$

若 (\mathbf{i}, \mathbf{j}) 是方程的解，则 (\mathbf{j}, \mathbf{i}) 也是方程的解，根据全同性原理，二者描写的是同样一个状态，它们之间相差一个常数因子

$$\Phi(q_1, q_2, \dots q_j \dots q_i \dots q_N, t) = \lambda \Phi(q_1, q_2, \dots q_i \dots q_j \dots q_N, t)$$

$$\begin{aligned} \Phi(q_1, q_2, \dots q_i \dots q_j \dots q_N, t) &= \lambda \Phi(q_1, q_2, \dots q_j \dots q_i \dots q_N, t) \\ &= \lambda^2 \Phi(q_1, q_2, \dots q_i \dots q_j \dots q_N, t) \end{aligned}$$

$$\lambda^2 = 1 \quad \Rightarrow \quad \lambda = \pm 1$$

$$\lambda = 1 \quad \Phi(q_1, q_2, \dots q_i \dots q_j \dots q_N, t) = \Phi(q_1, q_2, \dots q_j \dots q_i \dots q_N, t)$$

$$\lambda = -1 \quad \Phi(q_1, q_2, \dots q_i \dots q_j \dots q_N, t) = -\Phi(q_1, q_2, \dots q_j \dots q_i \dots q_N, t)$$

$$\hat{P}_{ij} \Phi(i, j) = \Phi(j, i) = \lambda \Phi(i, j)$$

$$\hat{P}_{ij}^2 \Phi(i, j) = \hat{P}_{ij} \hat{P}_{ij} \Phi(i, j) = \lambda \hat{P}_{ij} \Phi(i, j) = \lambda^2 \Phi(i, j)$$

$$\lambda = \pm 1,$$

对称波函数是算符 \hat{P}_{ij} 的本征态



$$\lambda = +1;$$

交换对称波函数

$$\lambda = -1$$

交换反对称波函数

(3) 波函数对称性不随时间改变

证明

假定全同粒子体系波函数 Φ_s 在时刻 t 是对称的，则由于 H 的对称性， $H\Phi_s$ 在这一时刻也是对称的

$$i\hbar \frac{\partial}{\partial t} \Phi_s = \hat{H} \Phi_s \quad t \rightarrow t+dt \quad \Phi_s + \frac{\partial}{\partial t} \Phi_s dt$$

反对称情形也可如是证明。以此类推，在以后任何时刻波函数都是对称的或反对称的。描写全同粒子体系状态的波函数只能是对称的或反对称的，它们的对称性不随时间改变，如果体系在某一时刻处于对称（反对称）的态，则它将永远处于对称（反对称）的态上。

实验证明：电子、质子、中子这些自旋为 $(\hbar/4\pi)$ 的粒子以及其他自旋为 $(\hbar/4\pi)$ 奇数倍的粒子组成的全同粒子体系的波函数是反对称的，这类粒子服从费米-狄拉克统计，称为费米子；光子（自旋为1），基态氦原子（自旋为零）、 α 粒子（自旋为零）以及其他自旋为零或为 $(\hbar/2\pi)$ 整数倍的粒子，这类粒子服从玻色-爱因斯坦统计，称为玻色子。

§ 6.7 全同粒子体系波函数 泡利原理

(1) 2个全同粒子体系波函数

(2) N 个全同粒子体系波函数

(3) 泡利原理

(1) 2个全同粒子体系波函数

(a) 对称和反对称波函数的组成

a.I 哈密顿 (不考虑相互作用)

$$\begin{aligned}\hat{H} &= -\frac{\hbar^2}{2\mu} \nabla_1^2 - \frac{\hbar^2}{2\mu} \nabla_2^2 + V(q_1) + V(q_2) \\ &= \hat{H}_0(q_1) + \hat{H}_0(q_2)\end{aligned}$$

a.II 单粒子波函数

\hat{H}_0 对全同粒子是相同的, 假定它不显含时间

$$\begin{cases} \hat{H}_0(q_1)\phi_i(q_1) = \varepsilon_i\phi_i(q_1) & \phi_i(q_n) \quad (n=1,2,\dots) \\ \hat{H}_0(q_2)\phi_i(q_2) = \varepsilon_i\phi_i(q_2) & \text{是单粒子波函数} \end{cases}$$

a.III 交换简并

粒子1处于i态，2处于j态，则体系能量和波函数如下：

$$\begin{cases} E = \varepsilon_i + \varepsilon_j \\ \Phi(q_1, q_2) = \phi_i(q_1)\phi_j(q_2) \end{cases}$$

证明：

$$\begin{aligned} \hat{H}\Phi(q_1, q_2) &= E\Phi(q_1, q_2) \\ [\hat{H}_0(q_1) + \hat{H}_0(q_2)]\Phi(q_1, q_2) &= [\hat{H}_0(q_1) + \hat{H}_0(q_2)]\phi_i(q_1)\phi_j(q_2) \\ &= [\hat{H}_0(q_1)\phi_i(q_1)]\phi_j(q_2) + \phi_i(q_1)[\hat{H}_0(q_2)\phi_j(q_2)] \\ &= \varepsilon_i\phi_i(q_1)\phi_j(q_2) + \varepsilon_j\phi_i(q_1)\phi_j(q_2) \\ &= (\varepsilon_i + \varepsilon_j)\phi_i(q_1)\phi_j(q_2) = E\Phi(q_1, q_2) \end{aligned}$$

粒子1处于j态，2处于i态，则体系能量和波函数如下：

$$\begin{cases} E = \varepsilon_i + \varepsilon_j \\ \Phi(q_2, q_1) = \phi_i(q_2)\phi_j(q_1) \end{cases}$$

态 $\Phi(q_1, q_2)$ 和 $\Phi(q_2, q_1)$ 在能量上是简并的，它们可以通过交换 $q_1 \Leftrightarrow q_2$ 来实现，称为交换简并

a.IV 满足对称条件的波函数组成

$i=j$ (q_1, q_2) 和 (q_2, q_1) 是对称波函数;

$i \neq j$ (q_1, q_2) 和 (q_2, q_1) 的对称性不确定

根据全同粒子体系波函数的条件, 构建对称性波函数

$$\Phi_S(q_1, q_2) = C[\Phi(q_1, q_2) + \Phi(q_2, q_1)]$$

$$\Phi_A(q_1, q_2) = C[\Phi(q_1, q_2) - \Phi(q_2, q_1)]$$

上述两个波函数都是H的本征函数, 能量都为

$$E = \varepsilon_i + \varepsilon_j$$

两个费米子组成体系的波函数为反对称波函数, 若它们状态相同, 则波函数为零, 无意义, 因此, 体系中两费米子不能处于同一状态, 这是泡利原理在两粒子组成的体系中的表述

a.V 体系对称波函数和反对称波函数的归一化

首先: 若单粒子波函数是正交归一化的, 则 $\Phi(\mathbf{q1}, \mathbf{q2})$ 和 $\Phi(\mathbf{q2}, \mathbf{q1})$ 也是正交归一化的

证明:

$$\begin{aligned}\iint \Phi^*(q_1, q_2) \Phi(q_1, q_2) dq_1 dq_2 &= \iint \phi_i^*(q_1) \phi_j^*(q_2) \phi_i(q_1) \phi_j(q_2) dq_1 dq_2 \\ &= \int \phi_i^*(q_1) \phi_i(q_1) dq_1 \int \phi_j^*(q_2) \phi_j(q_2) dq_2 = 1\end{aligned}$$

同理:
$$\iint \Phi^*(q_2, q_1) \Phi(q_2, q_1) dq_1 dq_2 = 1$$

但
$$\begin{aligned}\iint \Phi^*(q_2, q_1) \Phi(q_1, q_2) dq_1 dq_2 &= \iint \phi_i^*(q_2) \phi_j^*(q_1) \phi_i(q_1) \phi_j(q_2) dq_1 dq_2 \\ &= \int \phi_j^*(q_1) \phi_i(q_1) dq_1 \int \phi_i^*(q_2) \phi_j(q_2) dq_2 = 0\end{aligned}$$

同理:

$$\iint \Phi^*(q_1, q_2) \Phi(q_2, q_1) dq_1 dq_2 = 0$$

其次:体系对称波函数和反对称波函数的的正交归一化

$$\begin{aligned} 1 &= \iint \Phi_s^* \Phi_s dq_1 dq_2 \\ &= C^2 \iint [\Phi^*(q_1, q_2) + \Phi^*(q_2, q_1)][\Phi(q_1, q_2) + \Phi(q_2, q_1)] dq_1 dq_2 \\ &= C^2 \iint [\Phi^*(q_1, q_2)\Phi(q_1, q_2) + \Phi^*(q_2, q_1)\Phi(q_1, q_2) + \\ &\quad + \Phi^*(q_1, q_2)\Phi(q_2, q_1) + \Phi^*(q_2, q_1)\Phi(q_2, q_1)] dq_1 dq_2 \\ &= C^2 [1 + 0 + 0 + 1] = 2C^2 \quad \Rightarrow \quad C = \frac{1}{\sqrt{2}} \\ \Phi_s(q_1, q_2) &= \frac{1}{\sqrt{2}} [\Phi(q_1, q_2) + \Phi(q_2, q_1)] \\ \text{同理 } \Phi_A(q_1, q_2) &= \frac{1}{\sqrt{2}} [\Phi(q_1, q_2) - \Phi(q_2, q_1)] \end{aligned}$$

以上讨论适用于无相互作用情形, 对有相互作用情形有

$$\begin{cases} \Phi(q_1, q_2) \neq \phi_i(q_1)\phi_j(q_2) \\ \Phi(q_2, q_1) \neq \phi_i(q_2)\phi_j(q_1) \end{cases} \quad \text{和} \quad \begin{cases} \hat{H}(q_1, q_2)\Phi(q_1, q_2) = E\Phi(q_1, q_2) \\ \hat{H}(q_1, q_2)\Phi(q_2, q_1) = E\Phi(q_2, q_1) \end{cases}$$

H有对称性

$$\text{且 } \Phi_{s, A}(q_1, q_2) = \frac{1}{\sqrt{2}} [\Phi(q_1, q_2) \pm \Phi(q_2, q_1)]$$

(2) N 个全同粒子体系波函数

(a) 薛定谔方程求解

$$\hat{H} = \hat{H}_0(q_1) + \hat{H}_0(q_2) + \cdots + \hat{H}_0(q_N) = \sum_{n=1}^N \hat{H}_0(q_n)$$

单粒子本征方程:

$$\left\{ \begin{array}{l} \hat{H}_0(q_1)\phi_i(q_1) = \varepsilon_i\phi_i(q_1) \\ \hat{H}_0(q_2)\phi_j(q_2) = \varepsilon_j\phi_j(q_2) \\ \cdots \cdots \cdots \\ \hat{H}_0(q_N)\phi_k(q_N) = \varepsilon_k\phi_k(q_N) \end{array} \right.$$

$$\hat{H}\Phi = E\Phi$$

$$\left\{ \begin{array}{l} E = \varepsilon_i + \varepsilon_j + \cdots + \varepsilon_k \\ \Phi(q_1, q_2, \cdots q_N) = \phi_i(q_1)\phi_j(q_2) \cdots \phi_k(q_N) \end{array} \right.$$

(b) 玻色子体系和波函数对称性

2个玻色子，体系对称波函数为

$$\Phi_s(q_1, q_2) = \frac{1}{\sqrt{2}} [\Phi(q_1, q_2) + \Phi(q_2, q_1)] = \frac{1}{\sqrt{2}} [\phi_i(q_1)\phi_j(q_2) + \phi_i(q_2)\phi_j(q_1)]$$

N个玻色子，体系对称波函数为

粒子1, 2在态i, j
的一种排列

$$\Phi_s(q_1, q_2 \cdots q_N) = C \sum_p P[\phi_i(q_1)\phi_j(q_2) \cdots \phi_k(q_N)]$$

$$C = \sqrt{\frac{\prod_{k=1} n_k!}{N!}}$$

n_k 为处于单粒子k态的
粒子数目

例如: $N=3$ 的玻色子体系, 有三个单粒子态 **1, 2, 3**, 现求该体系的对称波函数

I. $n_1=n_2=n_3=1$

$$\Phi_S^{111}(q_1, q_2, q_3) = \frac{1}{\sqrt{3!}} [\phi_1(q_1)\phi_2(q_2)\phi_3(q_3) + \phi_1(q_2)\phi_2(q_3)\phi_3(q_1) \\ + \phi_1(q_3)\phi_2(q_1)\phi_3(q_2) + \phi_1(q_3)\phi_2(q_2)\phi_3(q_1) \\ + \phi_1(q_2)\phi_2(q_1)\phi_3(q_3) + \phi_1(q_1)\phi_2(q_3)\phi_3(q_2)]$$

II. $n_1=3, n_2=n_3=0$ $n_2=3, n_1=n_3=0$ $n_3=3, n_2=n_1=0$

$$\Phi_S^{300}(q_1, q_2, q_3) = \phi_1(q_1)\phi_1(q_2)\phi_1(q_3)$$

$$\Phi_S^{030}(q_1, q_2, q_3) = \phi_2(q_1)\phi_2(q_2)\phi_2(q_3)$$

$$\Phi_S^{003}(q_1, q_2, q_3) = \phi_3(q_1)\phi_3(q_2)\phi_3(q_3)$$

III. $n_1=2, n_2=1, n_3=0$

$$\Phi_S^{210}(q_1, q_2, q_3) = \sqrt{\frac{2!1!0!}{3!}} [\phi_1(q_1)\phi_1(q_2)\phi_2(q_3) + \phi_1(q_1)\phi_1(q_3)\phi_2(q_2) + \phi_1(q_3)\phi_1(q_2)\phi_2(q_1)]$$

$$\mathbf{n1=1, \ n2=0, \ n3=2}$$

$$\Phi_s^{102}(q_1, q_2, q_3) = \sqrt{\frac{1!0!2!}{3!}} [\phi_1(q_1)\phi_3(q_2)\phi_3(q_3) + \phi_1(q_2)\phi_3(q_1)\phi_3(q_3) + \phi_1(q_3)\phi_3(q_2)\phi_3(q_1)]$$

$$\mathbf{n1=0, \ n2=1, \ n3=2}$$

$$\Phi_s^{012}(q_1, q_2, q_3) = \sqrt{\frac{0!1!2!}{3!}} [\phi_2(q_1)\phi_3(q_2)\phi_3(q_3) + \phi_2(q_2)\phi_3(q_1)\phi_3(q_3) + \phi_2(q_3)\phi_3(q_2)\phi_3(q_1)]$$

$$\mathbf{n1=0, \ n2=2, \ n3=1}$$

$$\Phi_s^{021}(q_1, q_2, q_3) = \sqrt{\frac{0!2!1!}{3!}} [\phi_2(q_1)\phi_2(q_2)\phi_3(q_3) + \phi_2(q_1)\phi_2(q_3)\phi_3(q_2) + \phi_2(q_3)\phi_2(q_2)\phi_3(q_1)]$$

$$\mathbf{n1=1, \ n2=2, \ n3=0}$$

$$\Phi_s^{120}(q_1, q_2, q_3) = \sqrt{\frac{1!2!0!}{3!}} [\phi_1(q_1)\phi_2(q_2)\phi_2(q_3) + \phi_1(q_2)\phi_2(q_1)\phi_2(q_3) + \phi_1(q_3)\phi_2(q_2)\phi_2(q_1)]$$

$$\mathbf{n1=2, \ n2=0, \ n3=1}$$

$$\Phi_s^{201}(q_1, q_2, q_3) = \sqrt{\frac{2!0!1!}{3!}} [\phi_1(q_1)\phi_1(q_2)\phi_3(q_3) + \phi_1(q_1)\phi_1(q_3)\phi_3(q_2) + \phi_1(q_3)\phi_1(q_2)\phi_3(q_1)]$$

注意 以上实际是重复组合问题，计算公式为

$$C_m^{\tilde{n}} = C_{m+n-1}^n = \frac{(m+n-1)!}{n!(m-1)!}$$

$$\begin{aligned} C_3^{\tilde{3}} &= C_{3+3-1}^3 = C_5^3 \\ &= \frac{5!}{3!(5-3)!} = 10 \end{aligned}$$

(c) 费米子体系和反对称波函数

$$\Phi_A(q_1, q_2) = \frac{1}{\sqrt{2}} [\Phi(q_1, q_2) - \Phi(q_2, q_1)] = \frac{1}{\sqrt{2}} \begin{vmatrix} \phi_i(q_1) & \phi_i(q_2) \\ \phi_j(q_1) & \phi_j(q_2) \end{vmatrix}$$

$$\Phi_A(q_1, q_2 \cdots q_N) = \frac{1}{\sqrt{N!}} \begin{vmatrix} \phi_i(q_1) & \phi_i(q_2) & \cdots & \phi_i(q_N) \\ \phi_j(q_1) & \phi_j(q_2) & \cdots & \phi_j(q_N) \\ \cdots & \cdots & \cdots & \cdots \\ \phi_k(q_1) & \phi_k(q_2) & \cdots & \phi_k(q_N) \end{vmatrix}$$

讨论

c.I Φ_A 是方程 $\mathbf{H} \Phi_A = E \Phi_A$ 的解

c.II 斯莱特行列式为方程的解，交换任何两粒子，
即调换两列，行列式改变符号，反对称函数

(3) 泡利原理

(a) 2费米子体系

$$\begin{aligned}\Phi_A(q_1, q_2) &= \frac{1}{\sqrt{2}} [\phi_i(q_1)\phi_j(q_2) - \phi_i(q_2)\phi_j(q_1)] = \frac{1}{\sqrt{2}} \begin{vmatrix} \phi_i(q_1) & \phi_i(q_2) \\ \phi_j(q_1) & \phi_j(q_2) \end{vmatrix} \\ \Phi_A(q_1, q_2) &= \frac{1}{\sqrt{2}} [\phi_i(q_1)\phi_i(q_2) - \phi_i(q_2)\phi_i(q_1)] = 0 \\ &= \frac{1}{\sqrt{2}} \begin{vmatrix} \phi_i(q_1) & \phi_i(q_2) \\ \phi_i(q_1) & \phi_i(q_2) \end{vmatrix}\end{aligned}$$

(b) N费米子体系

$$\Phi_A(q_1, q_2 \cdots q_N) = \frac{1}{\sqrt{N!}} \begin{vmatrix} \phi_i(q_1) & \phi_i(q_2) & \cdots & \phi_i(q_N) \\ \phi_j(q_1) & \phi_j(q_2) & \cdots & \phi_j(q_N) \\ \cdots & \cdots & \cdots & \cdots \\ \phi_k(q_1) & \phi_k(q_2) & \cdots & \phi_k(q_N) \end{vmatrix}$$

$$\Phi_A(q_1, q_2 \cdots q_N) = \frac{1}{\sqrt{N!}} \begin{vmatrix} \phi_1(q_1) & \phi_1(q_2) & \cdots & \phi_1(q_N) \\ \phi_2(q_1) & \phi_2(q_2) & \cdots & \phi_2(q_N) \\ \cdots & \cdots & \cdots & \cdots \\ \phi_k(q_1) & \phi_k(q_2) & \cdots & \phi_k(q_N) \end{vmatrix} = 0$$

不能有两个或两个以上的费米子处于同一状态——泡利不相容原理——反对称波函数的要求

(c) 无自旋-轨道相互作用情形

$$\Phi(\vec{r}_1, s_1; \vec{r}_2, s_2 \cdots \vec{r}_N, s_N) = \phi(\vec{r}_1, \vec{r}_2, \cdots \vec{r}_N) \chi(s_1, s_2 \cdots s_N)$$

如果粒子是费米子，上述体系波函数是反对称的

§ 6.8 两个电子的自旋函数

(1) 两个电子波函数的组成

(2) 总自旋 S^2 , S_z 的本征函数

(3) 两个电子波函数的再解释

(1) 两个电子波函数的组成

哈密顿算符不含电子自旋相互作用项时

$$\chi(s_{1z}, s_{2z}) = \chi_{\alpha 1}(s_{1z}) \chi_{\alpha 2}(s_{2z}) \quad (\alpha_1, \alpha_2 = \pm \frac{1}{2})$$

$$\chi_{\frac{1}{2}}(s_{1z}) \chi_{\frac{1}{2}}(s_{2z}) \quad \chi_{\frac{1}{2}}(s_{1z}) \chi_{-\frac{1}{2}}(s_{2z})$$

$$\chi_{-\frac{1}{2}}(s_{1z}) \chi_{\frac{1}{2}}(s_{2z}) \quad \chi_{-\frac{1}{2}}(s_{1z}) \chi_{-\frac{1}{2}}(s_{2z})$$

$$\begin{cases} \chi_s^I = \chi_{\frac{1}{2}}(s_{1z}) \chi_{\frac{1}{2}}(s_{2z}) \\ \chi_s^{II} = \chi_{-\frac{1}{2}}(s_{1z}) \chi_{-\frac{1}{2}}(s_{2z}) \\ \chi_s^{III} = \sqrt{\frac{1}{2}} [\chi_{\frac{1}{2}}(s_{1z}) \chi_{-\frac{1}{2}}(s_{2z}) + \chi_{\frac{1}{2}}(s_{2z}) \chi_{-\frac{1}{2}}(s_{1z})] \end{cases}$$

$$\chi_A = \sqrt{\frac{1}{2}} [\chi_{\frac{1}{2}}(s_{1z}) \chi_{-\frac{1}{2}}(s_{2z}) - \chi_{\frac{1}{2}}(s_{2z}) \chi_{-\frac{1}{2}}(s_{1z})]$$

(2) 总自旋 S^2 , S_z 的本征函数

(a) 总自旋算符

$$\begin{aligned}\hat{\mathbf{S}} &= \hat{\mathbf{s}}_1 + \hat{\mathbf{s}}_2 & \hat{S}_z &= s_{1z} + s_{2z} & \hat{S}^2 &= (\hat{\mathbf{s}}_1 + \hat{\mathbf{s}}_2)^2 = \hat{s}_1^2 + \hat{s}_2^2 + 2(\hat{\mathbf{s}}_1 \bullet \hat{\mathbf{s}}_2) \\ \hat{\mathbf{s}}_1 \bullet \hat{\mathbf{s}}_2 &= \hat{s}_{1x}\hat{s}_{2x} + \hat{s}_{1y}\hat{s}_{2y} + \hat{s}_{1z}\hat{s}_{2z} & \hat{S}^2 &= \frac{3}{4}\hbar^2 + \frac{3}{4}\hbar^2 + 2(\hat{s}_{1x}\hat{s}_{2x} + \hat{s}_{1y}\hat{s}_{2y} + \hat{s}_{1z}\hat{s}_{2z}) \\ &= \frac{3}{2}\hbar^2 + 2(\hat{s}_{1x}\hat{s}_{2x} + \hat{s}_{1y}\hat{s}_{2y} + \hat{s}_{1z}\hat{s}_{2z})\end{aligned}$$

$$\begin{aligned}\hat{S}_x \chi_{\frac{1}{2}} &= \frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \frac{\hbar}{2} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \frac{\hbar}{2} \chi_{-\frac{1}{2}} & \hat{S}_x \chi_{-\frac{1}{2}} &= \frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \frac{\hbar}{2} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \frac{\hbar}{2} \chi_{\frac{1}{2}} \\ \hat{S}_y \chi_{\frac{1}{2}} &= \frac{\hbar}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \frac{i\hbar}{2} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = \frac{i\hbar}{2} \chi_{-\frac{1}{2}} & \hat{S}_y \chi_{-\frac{1}{2}} &= \frac{\hbar}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \begin{pmatrix} 0 \\ 1 \end{pmatrix} = -\frac{i\hbar}{2} \begin{pmatrix} 1 \\ 0 \end{pmatrix} = -\frac{i\hbar}{2} \chi_{\frac{1}{2}} \\ \hat{S}_z \chi_{\frac{1}{2}} &= \frac{\hbar}{2} \chi_{\frac{1}{2}} & \hat{S}_z \chi_{-\frac{1}{2}} &= -\frac{\hbar}{2} \chi_{-\frac{1}{2}}\end{aligned}$$

利用上述式子可求出 \hat{S}^2 和 \hat{S}_z 在四个态中的本征值，例如

$$\begin{aligned}
 & \hat{S}^2 \chi_S^I \\
 &= \left[\frac{3}{2} \hbar^2 + 2(\hat{s}_{1x}\hat{s}_{2x} + \hat{s}_{1y}\hat{s}_{2y} + \hat{s}_{1z}\hat{s}_{2z}) \right] \chi_S^I \\
 &= \frac{3}{2} \hbar^2 \chi_S^I + 2(\hat{s}_{1x}\hat{s}_{2x} + \hat{s}_{1y}\hat{s}_{2y} + \hat{s}_{1z}\hat{s}_{2z}) [\chi_{\frac{1}{2}}(s_{1z}) \chi_{\frac{1}{2}}(s_{2z})] \\
 &= \frac{3}{2} \hbar^2 \chi_S^I + 2[\hat{s}_{1x} \chi_{\frac{1}{2}}(s_{1z}) \hat{s}_{2x} \chi_{\frac{1}{2}}(s_{2z}) + \hat{s}_{1y} \chi_{\frac{1}{2}}(s_{1z}) \hat{s}_{2y} \chi_{\frac{1}{2}}(s_{2z}) + \hat{s}_{1z} \chi_{\frac{1}{2}}(s_{1z}) \hat{s}_{2z} \chi_{\frac{1}{2}}(s_{2z})] \\
 &= \frac{3}{2} \hbar^2 \chi_S^I + 2 \left[\frac{\hbar^2}{4} \chi_{-\frac{1}{2}}(s_{1z}) \chi_{-\frac{1}{2}}(s_{2z}) - \frac{\hbar^2}{4} \chi_{-\frac{1}{2}}(s_{1z}) \chi_{-\frac{1}{2}}(s_{2z}) + \frac{\hbar^2}{4} \chi_{\frac{1}{2}}(s_{1z}) \chi_{\frac{1}{2}}(s_{2z}) \right] \\
 &= \frac{3}{2} \hbar^2 \chi_S^I + 2 \left[\frac{\hbar^2}{4} \chi_{\frac{1}{2}}(s_{1z}) \chi_{\frac{1}{2}}(s_{2z}) \right] = \frac{3}{2} \hbar^2 \chi_S^I + \frac{\hbar^2}{2} \chi_S^I = 2\hbar^2 \chi_S^I
 \end{aligned}$$

$$\hat{S}_z \chi_S^I = (\hat{s}_{1z} + \hat{s}_{2z}) \chi_{\frac{1}{2}}(s_{1z}) \chi_{\frac{1}{2}}(s_{2z}) = \frac{1}{2} \hbar \chi_{\frac{1}{2}}(s_{1z}) \chi_{\frac{1}{2}}(s_{2z}) + \frac{1}{2} \hbar \chi_{\frac{1}{2}}(s_{1z}) \chi_{\frac{1}{2}}(s_{2z}) = \hbar \chi_S^I$$

χ_S^I 是算符 \hat{S}^2 和 \hat{S}_z 的本征函数，量子数分别为 $S=1$ 和 $m_s=1$

同理可求得其余三个态的本征值

$$\begin{cases} \hat{S}^2 \chi_S^I = 2\hbar^2 \chi_S^I \\ \hat{S}_z \chi_S^I = -\hbar \chi_S^I \end{cases} \quad \begin{cases} \hat{S}^2 \chi_S^{III} = 2\hbar^2 \chi_S^{III} \\ \hat{S}_z \chi_S^{III} = 0 \chi_S^{III} \end{cases} \quad \text{和} \quad \begin{cases} \hat{S}^2 \chi_A = 0 \\ \hat{S}_z \chi_A = 0 \end{cases}$$

结果汇总如下表所示

	\hat{S}^2	S	\hat{S}_z	m_S	$^{2S+1}\chi_{m_S}$
χ_S^I	$2\hbar^2$	1	\hbar	1	$^3\chi_1$
χ_S^{II}	$2\hbar^2$	1	$-\hbar$	-1	$^3\chi_{-1}$
χ_S^{III}	$2\hbar^2$	1	0	0	$^3\chi_0$
χ_A	0	0	0	0	$^1\chi_0$

(3) 两个电子波函数的再解释

对耦合情形的理解

$$\chi_{m_{1s}}(s_{1z}) = |s_1 m_{1s}\rangle \quad \chi_{m_{2s}}(s_{2z}) = |s_2 m_{2s}\rangle$$

(a) 无耦合 $|s_1 m_{1s} s_2 m_{2s}\rangle = |s_1 m_{1s}\rangle |s_2 m_{2s}\rangle$

(b) 耦合 $\hat{S} = \hat{S}_1 + \hat{S}_2 \quad \hat{S}_z = \hat{S}_{1z} + \hat{S}_{2z}$

耦合基矢 $|s_1 \ s_2 \ S \ m_s\rangle$

(c) 关系

C—G

$$\begin{aligned} |s_1 \ s_2 \ S \ m_s\rangle &= \sum_{m_{1s} m_{2s}} |s_1 m_{1s} s_2 m_{2s}\rangle \langle s_1 m_{1s} s_2 m_{2s} | s_1 \ s_2 \ S \ m_s\rangle \\ &= \sum_{m_{2s}=-\frac{1}{2}}^{\frac{1}{2}} |s_1 \ m_s - m_{2s} \ s_2 \ m_{2s}\rangle \langle s_1 \ m_s - m_{2s} \ s_2 \ m_{2s} | s_1 \ s_2 \ S \ m_s\rangle \\ &= |s_1 \ m_s + \frac{1}{2} \ \frac{1}{2} \ -\frac{1}{2}\rangle \langle s_1 \ m_s + \frac{1}{2} \ \frac{1}{2} \ -\frac{1}{2} | s_1 \ \frac{1}{2} \ S \ m_s\rangle \\ &\quad + |s_1 \ m_s - \frac{1}{2} \ \frac{1}{2} \ \frac{1}{2}\rangle \langle s_1 \ m_s - \frac{1}{2} \ \frac{1}{2} \ \frac{1}{2} | s_1 \ \frac{1}{2} \ S \ m_s\rangle \end{aligned}$$

$m_s = m_{1s} + m_{2s}$

$$|s_1 \ s_2 \ S \ m_s\rangle = |s_1 \ m_s + \frac{1}{2} \ \frac{1}{2} \ -\frac{1}{2}\rangle \langle s_1 \ m_s + \frac{1}{2} \ \frac{1}{2} \ -\frac{1}{2}| s_1 \ \frac{1}{2} \ S \ m_s\rangle \\ + |s_1 \ m_s - \frac{1}{2} \ \frac{1}{2} \ \frac{1}{2}\rangle \langle s_1 \ m_s - \frac{1}{2} \ \frac{1}{2} \ \frac{1}{2}| s_1 \ \frac{1}{2} \ S \ m_s\rangle$$

I. $S = s_1 + \frac{1}{2}$

$$|s_1 \ \frac{1}{2} \ s_1 + \frac{1}{2} \ m_s\rangle = \sqrt{\frac{s_1 + m_s + \frac{1}{2}}{2s_1 + 1}} |s_1 \ m_s - \frac{1}{2} \ \frac{1}{2} \ \frac{1}{2}\rangle + \sqrt{\frac{s_1 - m_s + \frac{1}{2}}{2s_1 + 1}} |s_1 \ m_s + \frac{1}{2} \ \frac{1}{2} \ -\frac{1}{2}\rangle$$

$$s_1 = \frac{1}{2} \quad |\frac{1}{2} \ \frac{1}{2} \ 1 \ m_s\rangle = \sqrt{\frac{1+m_s}{2}} |\frac{1}{2} \ m_s - \frac{1}{2} \ \frac{1}{2} \ \frac{1}{2}\rangle + \sqrt{\frac{1-m_s}{2}} |\frac{1}{2} \ m_s + \frac{1}{2} \ \frac{1}{2} \ -\frac{1}{2}\rangle$$

$$\mathbf{S = 1, \ m_s = 1, 0, -1}$$

$$\mathbf{ms=1} \quad |\frac{1}{2} \ \frac{1}{2} \ 1 \ 1\rangle = |\frac{1}{2} \ \frac{1}{2} \ \frac{1}{2} \ \frac{1}{2}\rangle = |\frac{1}{2} \ \frac{1}{2}\rangle_1 |\frac{1}{2} \ \frac{1}{2}\rangle_2 = \chi_{\frac{1}{2}}(s_{1z}) \chi_{\frac{1}{2}}(s_{2z})$$

$$\mathbf{ms=0} \quad |\frac{1}{2} \ \frac{1}{2} \ 1 \ 0\rangle = \sqrt{\frac{1}{2}} |\frac{1}{2} \ -\frac{1}{2} \ \frac{1}{2} \ \frac{1}{2}\rangle + \sqrt{\frac{1}{2}} |\frac{1}{2} \ \frac{1}{2} \ \frac{1}{2} \ -\frac{1}{2}\rangle$$

$$= \sqrt{\frac{1}{2}} |\frac{1}{2} \ -\frac{1}{2}\rangle_1 |\frac{1}{2} \ \frac{1}{2}\rangle_2 + \sqrt{\frac{1}{2}} |\frac{1}{2} \ \frac{1}{2}\rangle_1 |\frac{1}{2} \ -\frac{1}{2}\rangle_2$$

$$= \sqrt{\frac{1}{2}} [\chi_{-\frac{1}{2}}(s_{1z}) \chi_{\frac{1}{2}}(s_{2z}) + \chi_{\frac{1}{2}}(s_{1z}) \chi_{-\frac{1}{2}}(s_{2z})]$$

$$\mathbf{ms=-1} \quad |\frac{1}{2} \ \frac{1}{2} \ 1 \ -1\rangle = |\frac{1}{2} \ -\frac{1}{2} \ \frac{1}{2} \ -\frac{1}{2}\rangle = |\frac{1}{2} \ -\frac{1}{2}\rangle_1 |\frac{1}{2} \ -\frac{1}{2}\rangle_2 = \chi_{-\frac{1}{2}}(s_{1z}) \chi_{-\frac{1}{2}}(s_{2z})$$

$$\hat{S}^2 \chi_{m_s} = \hat{S}^2 \left| \frac{1}{2} \quad \frac{1}{2} \quad 1 \quad m_s \right\rangle = 1(1+1)\hbar^2 \left| \frac{1}{2} \quad \frac{1}{2} \quad 1 \quad m_s \right\rangle = 2\hbar^2 \chi_{m_s}$$

$$\hat{S}_z \chi_{m_s} = \hat{S}_z \left| \frac{1}{2} \quad \frac{1}{2} \quad 1 \quad m_s \right\rangle = m_s \hbar \left| \frac{1}{2} \quad \frac{1}{2} \quad 1 \quad m_s \right\rangle = m_s \hbar \chi_{m_s}$$

$$III. \quad S = s_1 - \frac{1}{2}$$

$$\left| s_1 \quad \frac{1}{2} \quad s_1 - \frac{1}{2} \quad m_s \right\rangle = -\sqrt{\frac{s_1 - m_s + \frac{1}{2}}{2s_1 + 1}} \left| s_1 \quad m_s - \frac{1}{2} \quad \frac{1}{2} \quad \frac{1}{2} \right\rangle + \sqrt{\frac{s_1 + m_s + \frac{1}{2}}{2s_1 + 1}} \left| s_1 \quad m_s + \frac{1}{2} \quad \frac{1}{2} \quad -\frac{1}{2} \right\rangle$$

$$s_1 = \frac{1}{2}$$

$$\mathbf{S} = \mathbf{0}, \quad \mathbf{m}_s = \mathbf{0}$$

$$\begin{aligned} \left| \frac{1}{2} \quad \frac{1}{2} \quad 0 \quad 0 \right\rangle &= -\sqrt{\frac{1}{2}} \left| \frac{1}{2} \quad -\frac{1}{2} \quad \frac{1}{2} \quad \frac{1}{2} \right\rangle + \sqrt{\frac{1}{2}} \left| \frac{1}{2} \quad \frac{1}{2} \quad \frac{1}{2} \quad -\frac{1}{2} \right\rangle \\ &= -\sqrt{\frac{1}{2}} \left| \frac{1}{2} \quad -\frac{1}{2} \right\rangle_1 \left| \frac{1}{2} \quad \frac{1}{2} \right\rangle_2 + \sqrt{\frac{1}{2}} \left| \frac{1}{2} \quad \frac{1}{2} \right\rangle_1 \left| \frac{1}{2} \quad -\frac{1}{2} \right\rangle_2 \\ &= \sqrt{\frac{1}{2}} [\chi_{\frac{1}{2}}(s_{1z}) \chi_{-\frac{1}{2}}(s_{2z}) - \chi_{-\frac{1}{2}}(s_{1z}) \chi_{\frac{1}{2}}(s_{2z})] \end{aligned}$$

$$\hat{S}^2 \chi_0 = \hat{S}^2 \left| \frac{1}{2} \quad \frac{1}{2} \quad 0 \quad 0 \right\rangle = 0(0+1)\hbar^2 \left| \frac{1}{2} \quad \frac{1}{2} \quad 0 \quad 0 \right\rangle = 0$$

$$\hat{S}_z \chi_0 = \hat{S}_z \left| \frac{1}{2} \quad \frac{1}{2} \quad 0 \quad 0 \right\rangle = 0 \hbar \left| \frac{1}{2} \quad \frac{1}{2} \quad 0 \quad 0 \right\rangle = 0$$

§ 6.9 氦原子(微扰法)

电子自旋和泡利不相容原理考虑进去，可以得出与实验符合很好的结果

(1) 氦原子哈密顿算符

(2) 微扰法求解氦原子能级和波函数

(3) 讨论

(1) 氦原子哈密顿算符

$$\hat{H} = -\frac{\hbar^2}{2m}\nabla_1^2 - \frac{\hbar^2}{2m}\nabla_2^2 - \frac{2e_s^2}{r_1} - \frac{2e_s^2}{r_2} + \frac{e_s^2}{r_{12}}$$

$$\Phi(\vec{r}_1, \vec{r}_2, s_{1z}, s_{2z}) = \psi(\vec{r}_1, \vec{r}_2) \chi(s_{1z}, s_{2z})$$

$$\hat{H} \psi(\vec{r}_1, \vec{r}_2) = E \psi(\vec{r}_1, \vec{r}_2)$$

(2) 微扰法求解氦原子能级和波函数

(a) 零级和微扰哈密顿算符

$$\hat{H} = \hat{H}^{(0)} + \hat{H}'$$

$$\hat{H}^{(0)} = -\frac{\hbar^2}{2m} \nabla_1^2 - \frac{\hbar^2}{2m} \nabla_2^2 - \frac{2e_s^2}{r_1} - \frac{2e_s^2}{r_2} = \hat{H}_1^{(0)} + \hat{H}_2^{(0)}$$

$$\hat{H}' = \frac{e_s^2}{r_{12}}$$

$$\left[-\frac{\hbar^2}{2m} \nabla_\alpha^2 - \frac{2e_s^2}{r_\alpha} \right] \psi_n(\vec{r}_\alpha) = \varepsilon_n \psi_n(\vec{r}_\alpha) \quad (\alpha=1,2.)$$

$$\varepsilon_n = -\frac{mZ^2 e_s^4}{2\hbar^2 n^2} \quad (n=1,2,\dots)$$

$$\psi_n(\vec{r}_\alpha) = \psi_{nlm}(\vec{r}_\alpha) \quad (\alpha=1,2.)$$

(b) 对称和反对称零级本征函数

对称本征函数

$$\psi_S^{(0)}(\vec{r}_1, \vec{r}_2) = \psi_n(\vec{r}_1)\psi_n(\vec{r}_2)$$

$$\psi_S^{(0)}(\vec{r}_1, \vec{r}_2) = \sqrt{\frac{1}{2}}[\psi_n(\vec{r}_1)\psi_m(\vec{r}_2) + \psi_n(\vec{r}_2)\psi_m(\vec{r}_1)] \quad m \neq n$$

反对称本征函数

$$\psi_A^{(0)}(\vec{r}_1, \vec{r}_2) = \sqrt{\frac{1}{2}}[\psi_n(\vec{r}_1)\psi_m(\vec{r}_2) - \psi_n(\vec{r}_2)\psi_m(\vec{r}_1)] \quad m \neq n$$

(c) 状态能量的修正

$$E_{nm}^{(0)} = \varepsilon_n + \varepsilon_m$$

$$E_{11}^{(0)} = \varepsilon_1 + \varepsilon_1 = -\frac{4me_s^4}{\hbar^2}$$

$$\psi_S^{(0)}(\vec{r}_1, \vec{r}_2) = \psi_{100}(\vec{r}_1) \psi_{100}(\vec{r}_2) = \frac{8}{\pi a_0^3} e^{-2(r_1+r_2)/a_0}$$

$$E_{11}^{(1)} = \iint \psi_S^{(0)*}(\vec{r}_1, \vec{r}_2) \frac{e_s^2}{r_{12}} \psi_S^{(0)}(\vec{r}_1, \vec{r}_2) d\tau_1 d\tau_2$$

$$= \left. \frac{5Ze_s^2}{8a_0} \right|_{Z=2} = \frac{5e_s^2}{4a_0} = \frac{5me_s^4}{4\hbar^2} \quad a_0 = \frac{\hbar^2}{me_s^2}$$

$$E_0 \approx E_{11}^{(0)} + E_{11}^{(1)} = \varepsilon_1 + \varepsilon_1 + E_{11}^{(1)} = -\frac{4me_s^4}{\hbar^2} + \frac{5me_s^4}{4\hbar^2} = -\frac{11me_s^4}{4\hbar^2}$$

$$= -2.75 \frac{e_s^2}{a_0} = -74.83 \text{ eV}$$

$$E_0(\text{exp}) = -2.904 \frac{e_s^2}{a_0} = -78.98 \text{ eV} \quad \text{误差是 } 5.3 \%$$

(d) 激发态能量的一级修正

$m \neq n$

$$\begin{aligned} E_{nm}^{(1)} &= \iint_A \psi_S^{(0)*}(\vec{r}_1, \vec{r}_2) \frac{e^2}{r_{12}} \psi_S^{(0)}(\vec{r}_1, \vec{r}_2) d\tau_1 d\tau_2 \\ &= \frac{1}{2} \iint [\psi_n^*(\vec{r}_1) \psi_m^*(\vec{r}_2) \pm \psi_n^*(\vec{r}_2) \psi_m^*(\vec{r}_1)] \frac{e^2}{r_{12}} [\psi_n(\vec{r}_1) \psi_m(\vec{r}_2) \pm \psi_n(\vec{r}_2) \psi_m(\vec{r}_1)] d\tau_1 d\tau_2 \\ &= \frac{1}{2} \iint \frac{e^2}{r_{12}} |\psi_n(\vec{r}_1)|^2 |\psi_m(\vec{r}_2)|^2 d\tau_1 d\tau_2 + \frac{1}{2} \iint \frac{e^2}{r_{12}} |\psi_n(\vec{r}_2)|^2 |\psi_m(\vec{r}_1)|^2 d\tau_1 d\tau_2 \\ &\quad \pm \frac{1}{2} \iint \frac{e^2}{r_{12}} \psi_n^*(\vec{r}_1) \psi_m^*(\vec{r}_2) \psi_n(\vec{r}_2) \psi_m(\vec{r}_1) d\tau_1 d\tau_2 \pm \frac{1}{2} \iint \frac{e^2}{r_{12}} \psi_n^*(\vec{r}_2) \psi_m^*(\vec{r}_1) \psi_n(\vec{r}_1) \psi_m(\vec{r}_2) d\tau_1 d\tau_2 \\ &= K \pm J \end{aligned}$$

$$\begin{cases} E_S = \varepsilon_n + \varepsilon_m + K + J \\ E_A = \varepsilon_n + \varepsilon_m + K - J \end{cases} \quad (m \neq n)$$

(e) 氦原子的波函数

$$\Phi_I = \psi_S^{(0)}(\vec{r}_1, \vec{r}_2) \chi_A(s_{1z}, s_{2z}) = \psi_S^{(0)1} \chi_0$$

$$\Phi_{II} = \psi_A^{(0)}(\vec{r}_1, \vec{r}_2) \chi_S(s_{1z}, s_{2z}) = \psi_A^{(0)3} \chi_{m_s} \quad (m_s = 0, \pm 1.)$$

I——单态 II——三重态

(f) K和J的物理意义

$$\begin{cases} \rho_{nn}(\vec{r}_1) = -e \psi_n^*(\vec{r}_1) \psi_n(\vec{r}_1) \\ \rho_{mm}(\vec{r}_2) = -e \psi_m^*(\vec{r}_2) \psi_m(\vec{r}_2) \end{cases}$$

交换密度

$$\begin{cases} \rho_{mn}(\vec{r}_1) = -e \psi_m^*(\vec{r}_1) \psi_n(\vec{r}_1) \\ \rho_{mn}^*(\vec{r}_2) = -e \psi_m(\vec{r}_2) \psi_n^*(\vec{r}_2) \end{cases}$$

库仑能

$$K = \iint \frac{1}{r_{12}} \rho_{nn}(\vec{r}_1) \rho_{mm}(\vec{r}_2) d\tau_1 d\tau_2$$

交换能

$$J = \iint \frac{1}{r_{12}} \rho_{mn}(\vec{r}_1) \rho_{mn}^*(\vec{r}_2) d\tau_1 d\tau_2$$

(3) 讨论

(a) 交换能是量子力学中特有的结果

K和**J**来自库仑力.**J**来自全同粒子体系波函数的对称性, 是量子力学的特殊结果

(b) **J**

$$J = \iint \frac{1}{r_{12}} \rho_{mn}(\vec{r}_1) \rho_{mn}^*(\vec{r}_2) d\tau_1 d\tau_2 \quad \begin{cases} \rho_{mn}(\vec{r}_1) = -e \psi_m^*(\vec{r}_1) \psi_n(\vec{r}_1) \\ \rho_{mn}^*(\vec{r}_2) = -e \psi_m(\vec{r}_2) \psi_n^*(\vec{r}_2) \end{cases}$$

J反映了**m**和**n**态波函数的重合程度

(c) $m \neq n$, 氮原子激发态的四重简并可可用简并微扰处理

$$\Phi_I = \psi_S^{(0)}(\vec{r}_1, \vec{r}_2) \chi_A(s_{1z}, s_{2z}) = \psi_S^{(0)1} \chi_0$$

$$\Phi_{II} = \psi_A^{(0)}(\vec{r}_1, \vec{r}_2) \chi_S(s_{1z}, s_{2z}) = \psi_A^{(0)3} \chi_{m_s} \quad (m_s = 0, \pm 1.)$$

$$\begin{cases} \psi_S^{(0)}(\vec{r}_1, \vec{r}_2) = \sqrt{\frac{1}{2}} [\psi_n(\vec{r}_1) \psi_m(\vec{r}_2) + \psi_n(\vec{r}_2) \psi_m(\vec{r}_1)] \\ \psi_A^{(0)}(\vec{r}_1, \vec{r}_2) = \sqrt{\frac{1}{2}} [\psi_n(\vec{r}_1) \psi_m(\vec{r}_2) - \psi_n(\vec{r}_2) \psi_m(\vec{r}_1)] \end{cases} \quad m \neq n$$

$$H_{ij}' = 0, \quad H_{22}' = H_{33}' = H_{44}',$$

$$\begin{cases} E_1^{(1)} = H'_{11} = K + J \\ E_2^{(1)} = H'_{22} = H'_{33} = H'_{44} = K - J \end{cases}$$

作业

7.6

7.8